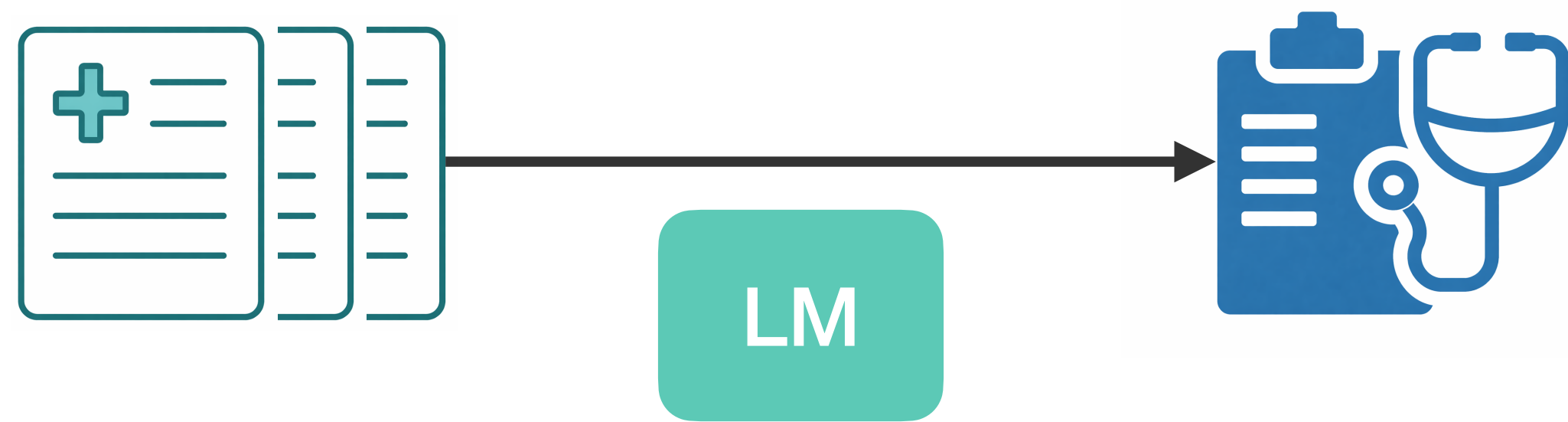


Clinical Information Extraction using Language Models, and its Role in Disease Prediction



Sitong Zhou

Advisors: Mari Ostendorf, Meliha Yetisgen

UW, Electrical and Computer Engineering

Why we need **unstructured** EHRs

***E**lectronic
Health
Records*



complementary



Unstructured EHRs

free-texts

rich with nuance

*e.g clinical reports,
radiology reports*

Structured EHRs

tabular data (convenient)

incomplete & missing details

*e.g ICD diagnosis codes,
demographic forms, labs*

Why we need unstructured EHRs



Structured EHRs

incomplete & missing details

structured: ICD codes

She had cough and **wheezes** bilaterally. She appeared to be a bit **weak** yesterday and got worse **R06.2** today. The patient denies shortness of breath **R53.1**.

Why we need **unstructured** EHRs



Unstructured EHRs

rich with nuance



complementary



Structured EHRs

symptoms

unstructured: clinical report

details
(anatomy)

She had **cough** and **wheezes** bilaterally. She appeared to be a bit **weak** yesterday and got worse today. The patient **denies shortness of breath**.

details
(change)

absent
symptoms

Why we need longitudinal unstructured EHRs



Longitudinal reports

*temporal change details:
How long has it been
stable, how fast it grows,
details in the past ...*

Single report

*short phrases for changes:
stable, size increased,
resolving*

Why we need longitudinal unstructured EHRs



Single report

short phrases for changes:

Chest CT
stable subsolid pulmonary nodule ...

Why we need longitudinal unstructured EHRs

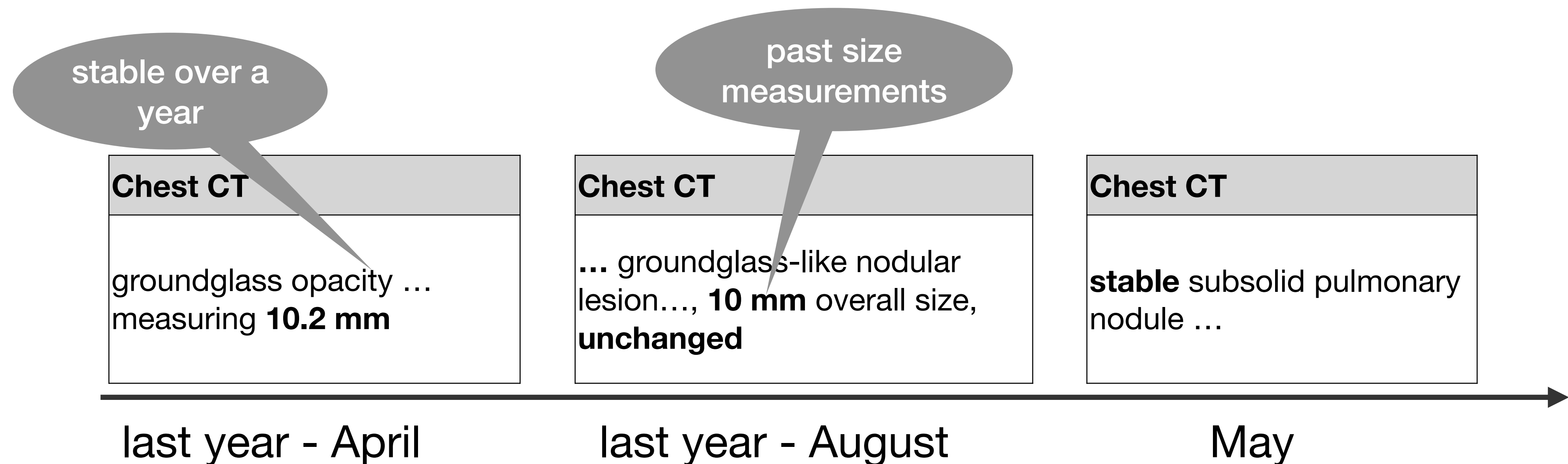


Longitudinal reports

Single report

temporal change details

short phrases for changes



Why IE from unstructured EHRs

**Information
Extraction**

IE (two tasks)

inconvenient



Decision support



Event
Extraction

*key information
with details*



Longitudinal
Summarization

*temporal change
details*

Clinically
important
information

*informed by
medical experts*

Human review
reduce reading burden
Machine learning
understandable inputs,
less irrelevant information

Challenges of building clinical **IE** using LMs

	IE	LM	Challenge	Clinical Usage
	Robust Event Extraction	encoder-only LM BERT (2018) BioClinical BERT (2019) generative LM T5 (2019) ClinicalT5 (2022)	Domain generalization <i>supervised learning on limited expert annotations</i>	Fairness
	Verifiable Longitudinal Summarization	LLM Llama 3 (2024) GPT 4o (2024)	Long context understanding, factuality	Trust
	<i>Efficient</i> generative methods		Costs / latency	Scalability

Overview: clinical IE using LMs for Disease Prediction

IE *how to build IE?*

Robust
Event
Extraction

1A

Encoder-only LM

Symptoms
(AMIA, 2023)

1B

Generative LM

Radiological findings
(ClinicalNLP, 2023)



how to use IE?

Disease
Prediction

3

Lung cancer prediction

Verifiable
Longitudinal
Summarization

2

LLM

Longitudinal radiological
lung findings
(LREC, 2026)

Efficient generative methods

1A

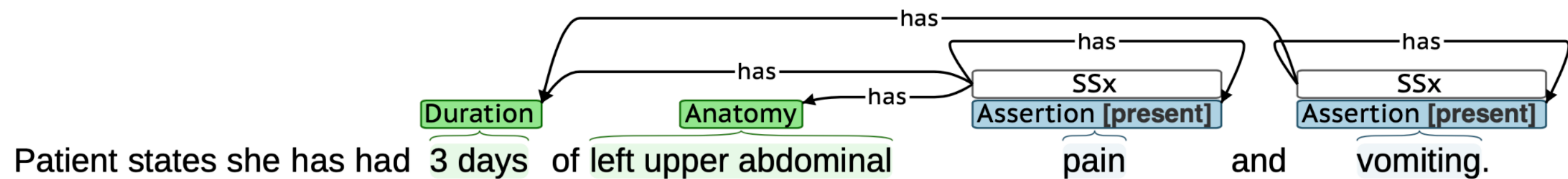
Robust event extraction on **symptoms**
with **encoder-only LM**

*Generalizing through Forgetting -- Domain Generalization for
Symptom Event Extraction in Clinical Notes*

Zhou, Lybarger, Yetisgen, Ostendorf, AMIA 2023

Symptom event extraction

Symptom event schema (Lybarger et al, 2021)



*What is an **event**?
highlight in raw texts*

*What is a
symptom event?*

Arguments
(details)

Arguments:

Assertion

present, absent,,

Anatomy,

left upper abdominal

Duration,

3 days

...

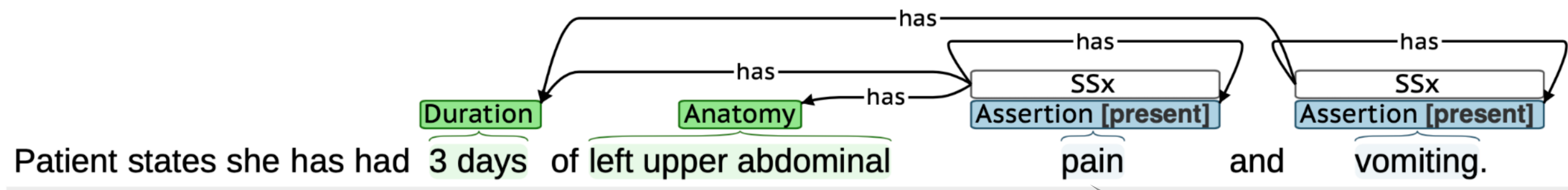
Trigger (key)

Trigger (SSx):

Symptom (e.g.,
pain, vomiting)

Symptom event extraction

Symptom event schema (*Lybarger et al, 2021*)



What is an *event*?

What is a *symptom event*?

Arguments
(details)

Arguments:

Assertion

present, absent,,

Anatomy,

left upper abdominal

Duration,

3 days

...

Trigger (key)

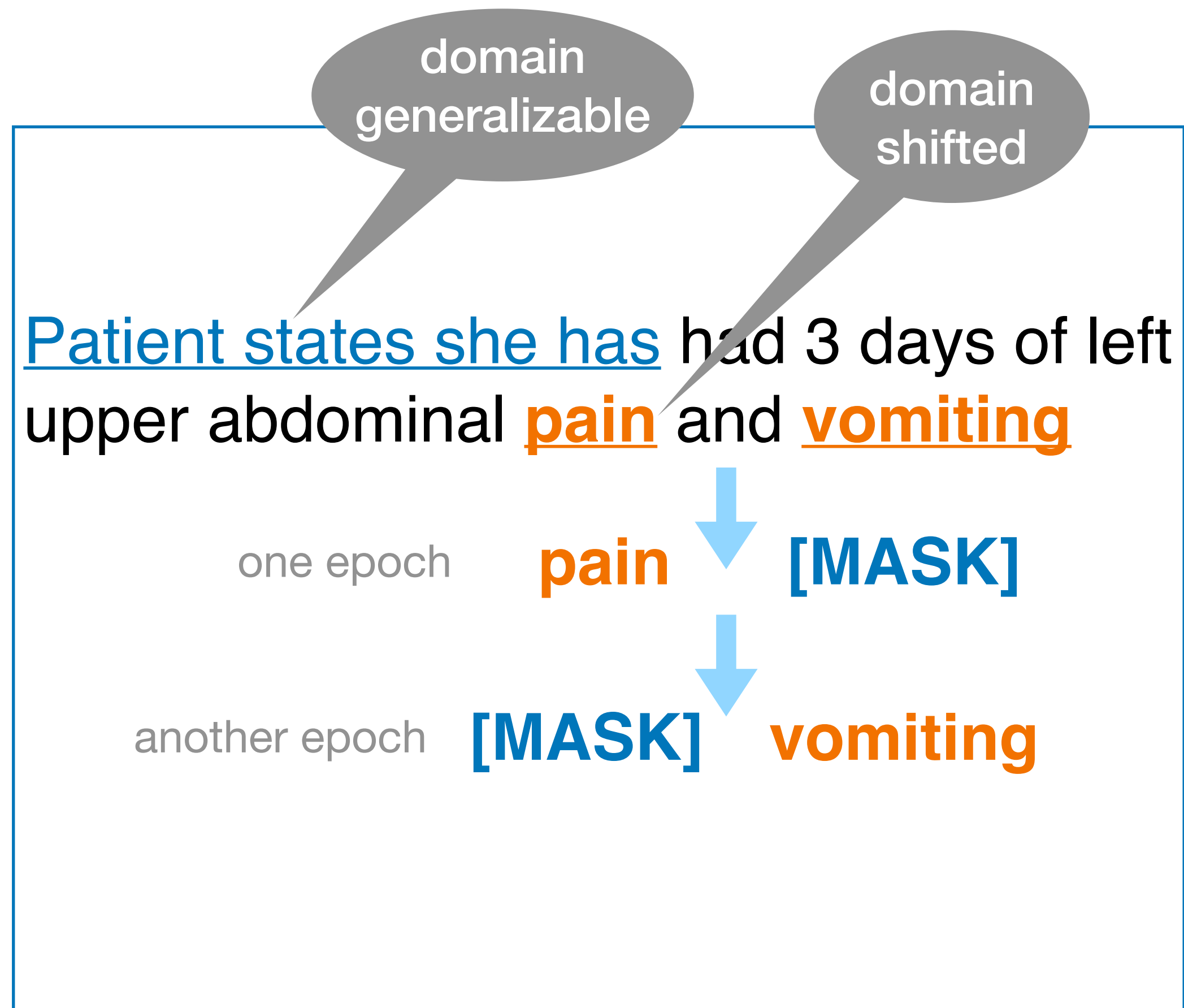
Trigger (SSx):

Symptom (e.g.,
pain, vomiting)

Shift with
domains

*hospital,
specialty,
time, etc*

Dynamically masking frequent trigger phrases



during training

Problem (**Memorization**):
Learn **shortcuts** about **frequent symptoms**

Solution (**Forgetting**):
Dynamic masking on **frequent symptoms**

A data manipulation strategy

*no extra data, only removing
unnecessary information in training data*

clinic patients
(during pandemic) → cancer patients

Dynamic masking helps

additional benefits beyond pretraining

Architecture: *SpERT*

Adaptive pretraining:
on UWM notes

Entity (F1)	<i>Bio+Clinical BERT</i>	+ Mask	Further Adaptive Pretraininig	+ Mask
Trigger	77.2	78.5**	78.6	79.9*
Assertion	72.2	73.1*	74	74.9
Anatomy	55.3	56.7	57.2	59.4
Characteristics	22.5	22.8	26.8	25.8
Duration	46.5	44.1	46.3	48.4

**(- -) and *(-) indicate significant gain(loss) p-value < 0.01 and <0.05



Robust
Event
Extraction

Encoder-only LM

1A

Symptoms
(AMIA, 2023)

Generative LM

1B

Radiological findings
(ClinicalNLP, 2023)

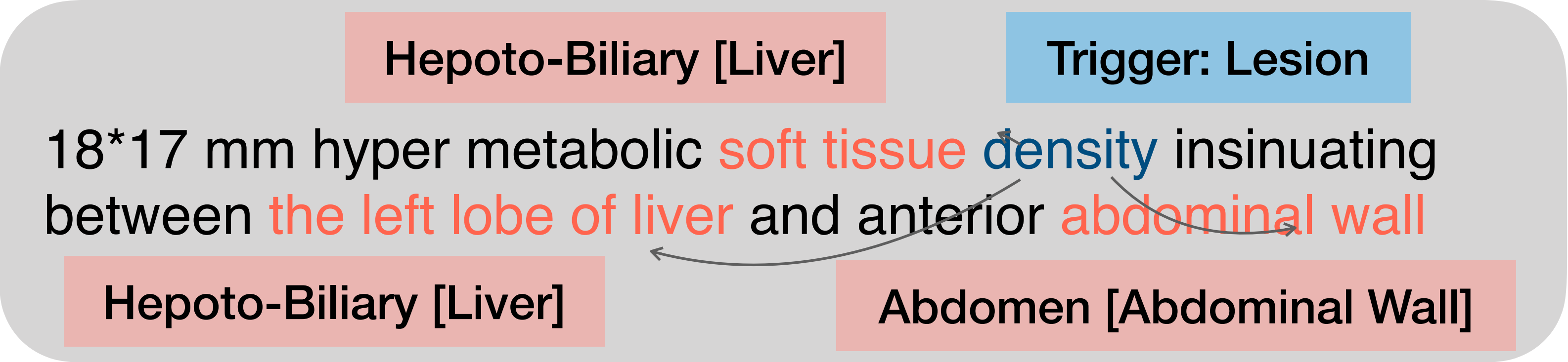
1B

Robust event extraction on radiological
findings with **generative LM**

*Building blocks for complex tasks: Robust generative event
extraction for radiology reports under domain shifts*

Zhou, Yetisgen, Ostendorf, ClinicalNLP 2023

Radiological event schema

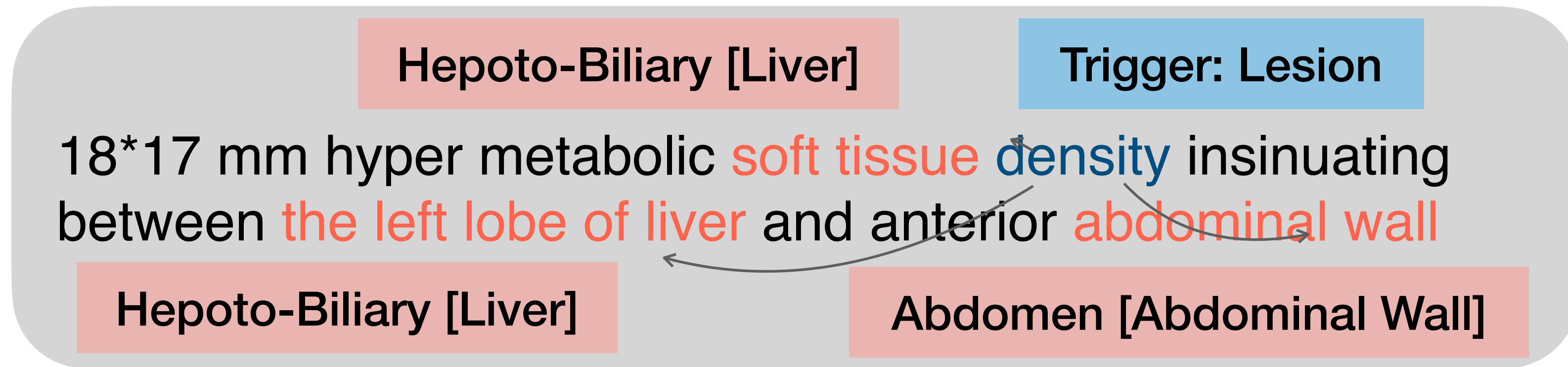


Subtasks

trigger & anatomy
(span and type)

(help filter in later use)

Radiological event schema



Subtasks

trigger span
trigger type
anatomy span
anatomy type

1-step inference

- SFT with generative models

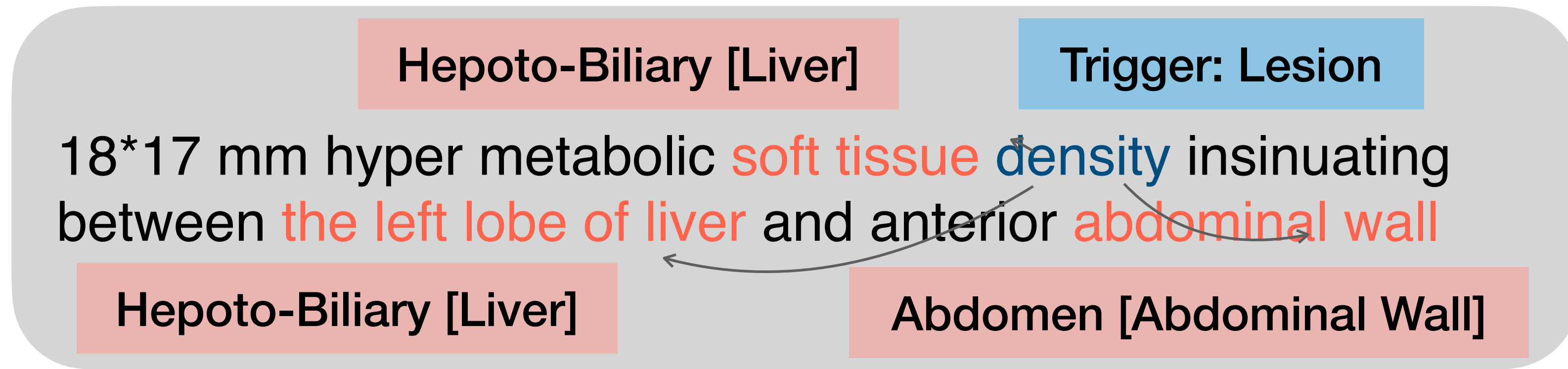
Q:What are medical findings in this sentence? What anatomy they occur in? which anatomy category they belong to among listed options?

A: density [Lesion]
soft tissue [Hepato-Biliary | Liver]
left lobe of liver [Hepato-Biliary | Liver]
abdominal wall [~~Hepato-Biliary~~ | ~~Liver~~]

if training data tend to have all anatomy types the same

looks like hallucination in LLM

Radiological event schema



Subtasks

trigger span
*trigger **type***
anatomy span
*anatomy **type***

1-step inference

- SFT with generative models

Q:What are medical findings in this sentence? What anatomy they occur in? which anatomy category they belong to among listed options?

A: **density** [Lesion]
soft tissue [Hepato-Biliary | Liver]
left lobe of liver [Hepato-Biliary | Liver]
abdominal wall [~~Hepato-Biliary~~ | Liver]

if training data tend to have all anatomy types the same

higher latency

Better off with **separate runs**
(**compositionality gap**)

Reasoning helps in LLM 1-step (Press et al, 2022)

Building blocks to help reasoning

SFT with T5 models (much smaller than LLM)

1-step inference

Q:What are medical findings in this sentence? What anatomy they occur in? which anatomy category they belong to among listed options?

A: density [Lesion]
soft tissue [Hepato-Biliary | Liver]
left lobe of liver [Hepato-Biliary | Liver]
abdominal wall [Abdomen | Abdominal Wall]

with building blocks

train on complex & simpler tasks
(stack of blocks) (single block)

...

domain
shifted

domain
generalizable

A: state: anatomy span
answer: soft tissue, left lobe of liver, abdominal wall

A: state: anatomy type
answer: soft tissue [Hepato-Biliary | Liver]

A: state: anatomy type
answer: left lobe of liver [Hepato-Biliary | Liver]

A: state: anatomy type
answer: abdominal wall [Abdomen | Abdominal Wall]

one subtask
one block
(encapsulate)

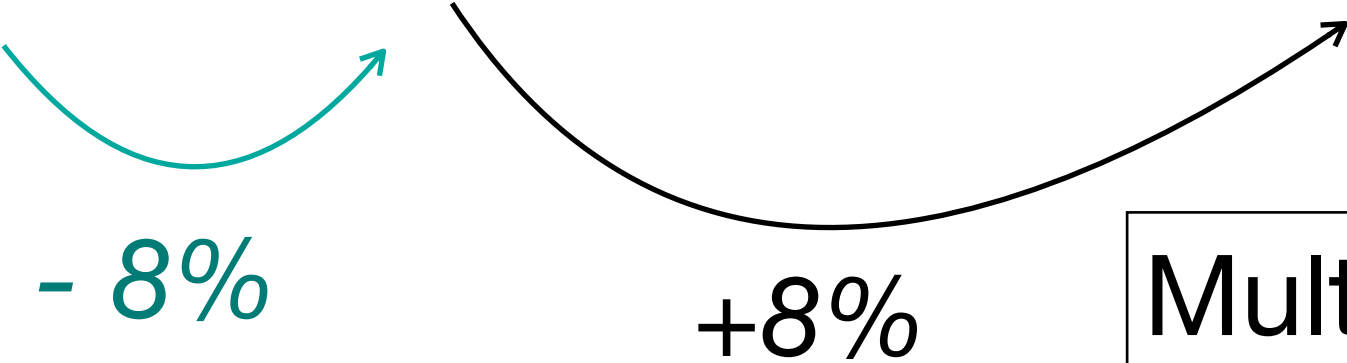
Reducing the 1-step compositionality gap

MRI -> PET (*local* exam -> *global* exam), T5-base models

e.g: Respiratory
e.g: Lung

anatomy types	multi-step	1-step	1-step w/ building blocks
- Parent level	<u>48.6</u>	44.9	48.3
- Child level	44.6	41.2	<u>44.8</u>

One-step inference suffers from compositionality gap



Multitask learning with blocks recovers the gap

Learning on simpler tasks enable small LMs to reason for complex tasks

Conclusion: robust event extraction

Realistic domain shift has multiple reasons:

Symptom distribution, subtask dependencies

Removing unnecessary information (shifted across domains) from training data helps generalization

symptom phrases, other subtasks

Shorter training data helps generalization when generating **longer outputs**

**Robust
Event
Extraction**

1A

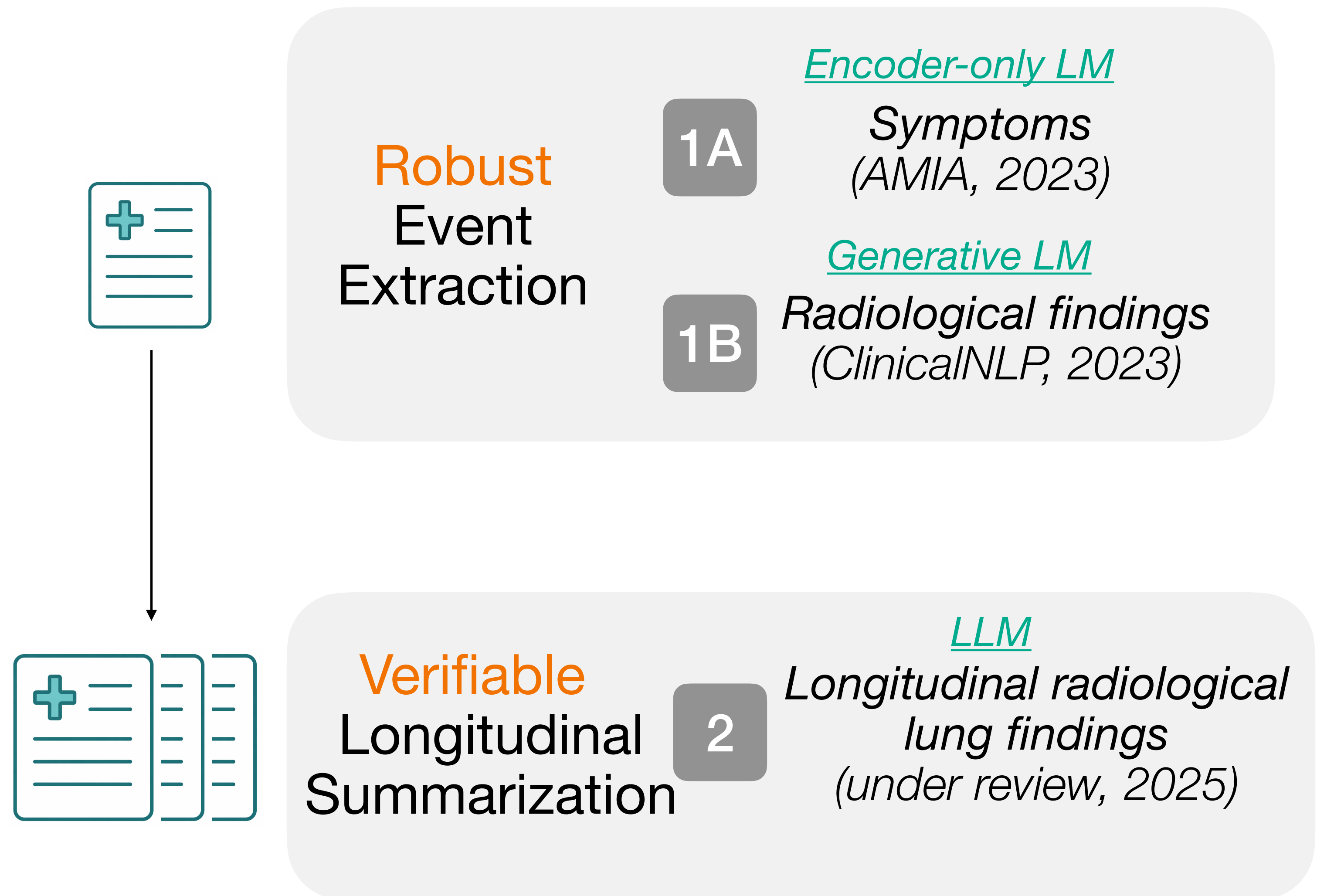
Encoder-only LM

*Symptoms
(AMIA, 2023)*

1B

Generative LM

*Radiological findings
(ClinicalNLP, 2023)*



2

Verifiable longitudinal summarization on radiological lung findings with **LLMs**

RadTimeline: Timeline Summarization for Longitudinal Radiological Lung Findings

Zhou, Yetisgen, Ostendorf, LREC 2026

Why we need longitudinal radiology reports

Longitudinal reports



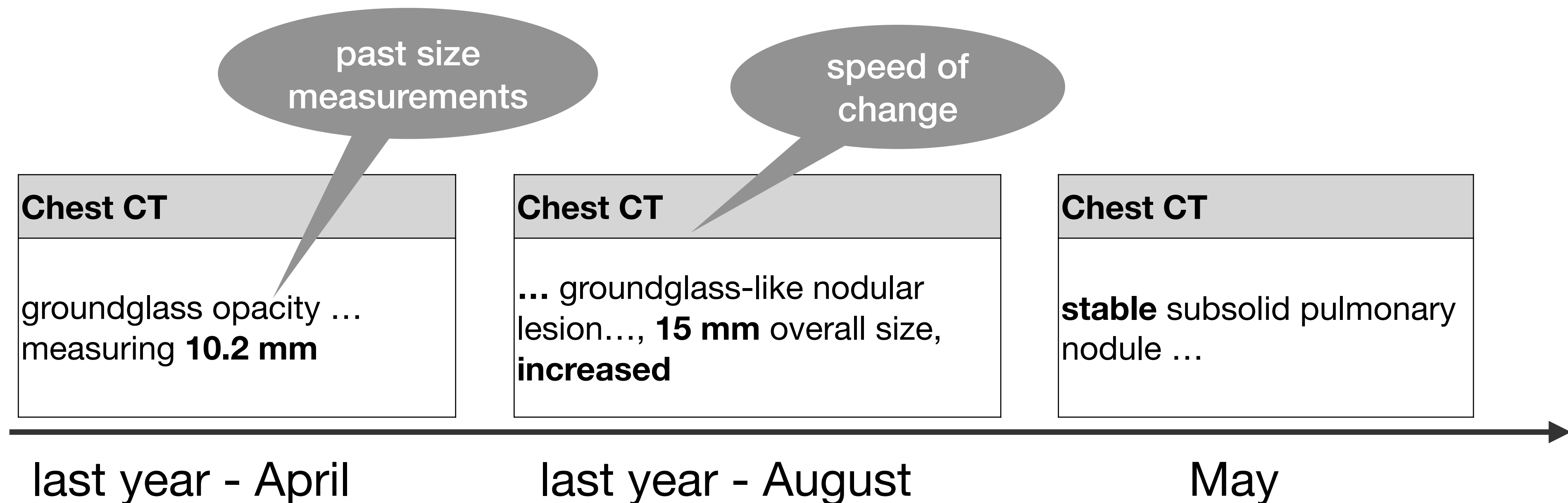
temporal change details

Single report



short phrases for changes

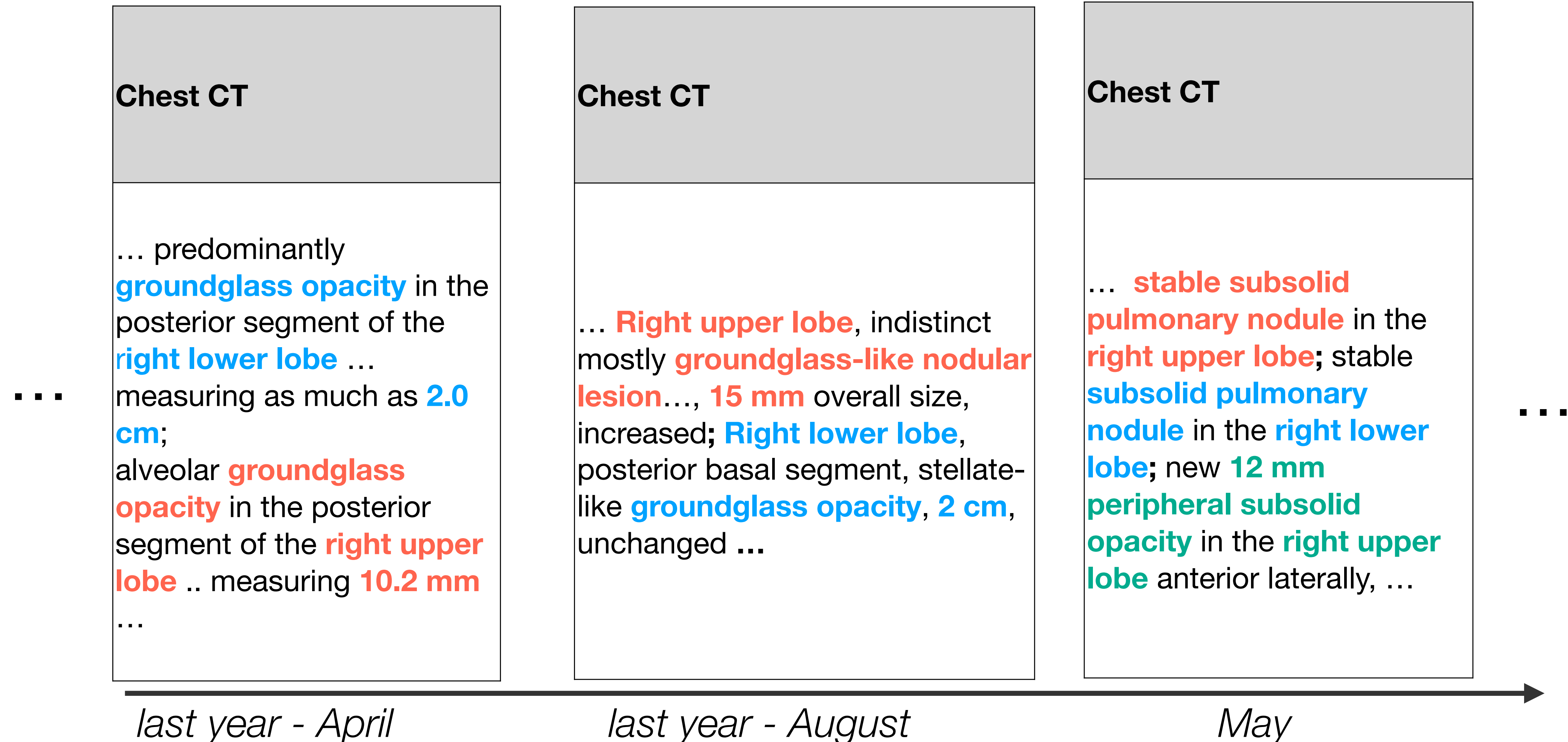
lung finding change details inform lung cancer risk.



Reading Challenges: time-consuming & cognitive overload

limited time for clinicians

*synthesizing
distributed facts*



Automatic Longitudinal Summarization: what format?

Direct summarization
as free-texts

(Chien et al, 2024)

*personalized, but
complicates fact-checking*

Verifiable structured summarization
group timestamped facts into fixed topics

(Verma et al, 2025)

*Verifiable facts, but fixed topics lack granularity.
Radiological finding groups change with patients*

RadTimeline (ours): timeline summarization
group timestamped facts with personalized group names
(Zhou et al, 2025)

Verifiable facts & personalized related finding groups

RadTimeline: contributions

- Structured summarization format
- RadTimeline evaluation dataset
- 3-step generation approach (no training required)

RadTimeline: structured summarization format

- Columns:** verifiable findings from each report
- header : report title
- Rows:** personalized groups for related findings
- header : group name

group name	[YYYY-1]-04_chest_ct	[YYYY-1]-08_chest_ct	... YYYY-05_chest_ct
right upper lobe ground glass nodule	alveolar groundglass opacity in the posterior segment of the right upper lobe .. measuring 10.2 mm	Right upper lobe , indistinct mostly groundglass-like nodular lesion..., 15 mm overall size, increased	stable subsolid pulmonary nodule in the right upper lobe
groundglass opacity in the posterior basal segment of the right lower lobe	<i>predominantly groundglass opacity in the posterior segment of the right lower lobe ... measuring as much as 2.0 cm</i>	<i>Right lower lobe, posterior basal segment, stellate-like groundglass opacity, 2 cm, unchanged</i>	stable subsolid pulmonary nodule in the right lower lobe
peripheral subsolid nodule in right upper lobe			new 12 mm peripheral subsolid opacity in the right upper lobe anterior laterally, ...

RadTimeline: structured summarization format

Columns: verifiable findings from each report — *header : report title*

each **cell** is a piece of fact containing critical **details** (size, trend, ..)

group name	[YYYY-1]-04_chest_ct	[YYYY-1]-08_chest_ct	...	YYYY-05_chest_ct
right upper lobe ground glass nodule	alveolar groundglass opacity in the posterior segment of the right upper lobe ... measuring 10.2 mm	Right upper lobe, indistinct mostly groundglass-like nodular lesion..., 15 mm overall size, increased	...	stable subsolid pulmonary nodule in the right upper lobe
groundglass opacity in the posterior basal segment of the right lower lobe	peripherally groundglass opacity in the posterior segment of the right lower lobe ... measuring as much as 2.0 cm	Right lower lobe, nodular basal segment, subtle groundglass opacity 2 cm, 12 Jan 2017	...	stable subsolid pulmonary nodule in the right lower lobe
peripheral subsolid nodule in right upper lobe				new 12 mm peripheral subsolid opacity in the right upper lobe anterior laterally, ...

RadTimeline: structured summarization format

Rows: personalized groups for related findings — header : group name

Each finding has a group assignment

each group name is distinguishable from other groups

avoid too generic / specific

group name	[YYYY-1]-04_chest_ct	[YYYY-1]-08_chest_ct	... YYYY-05_chest_ct
right upper lobe ground glass nodule	alveolar groundglass opacity in the posterior segment of the right upper lobe .. measuring 10.2 mm	Right upper lobe , indistinct mostly groundglass-like nodular lesion..., 15 mm overall size, increased	stable subsolid pulmonary nodule in the right upper lobe
groundglass opacity in the posterior basal segment of the right lower lobe	predominantly groundglass opacity in the posterior segment of the right lower lobe ... measuring as much as 2.0 cm	Right lower lobe, posterior basal segment, stellate-like groundglass opacity, 2 cm, unchanged	stable subsolid pulmonary nodule in the right lower lobe
peripheral subsolid nodule in right upper lobe			new 12 mm peripheral subsolid opacity in the right upper lobe anterior laterally, ...

RadTimeline: gold standard timelines for evaluation

EHR raw data: radiology reports from a lung cancer prediction study

10 patients (6 with future lung cancer) with 65 reports in a 5-year history window

Focus on lung findings from chest-related CT/XRay reports

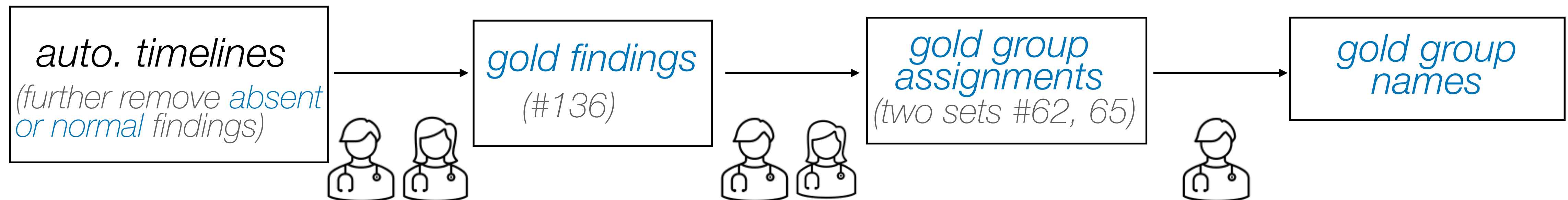
RadTimeline: gold standard timelines for evaluation

EHR raw data: radiology reports from a lung cancer prediction study

10 patients (6 with future lung cancer) with 65 reports in a 5-year history window

Focus on lung findings from chest-related CT/XRay reports

Timeline annotations: *Manually corrected autogenerated timelines using experts' feedback*



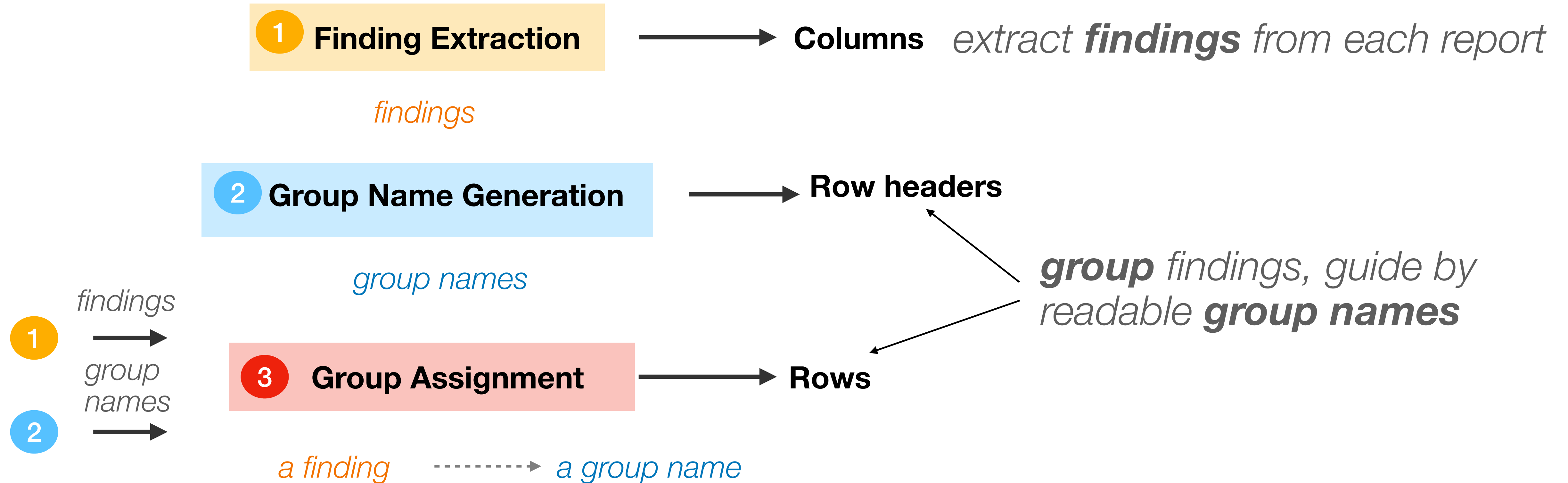
Identify missing and irrelevant, incorrect findings

Correct grouping and group names

Revises group names for each set

Findings are corrected based on their feedback

Three-step timeline generation



Step 1: finding extraction

1 Finding Extraction

Columns

LLM (single report)

extract *findings* from each report

input:



Please **list** all lung-related findings from the exam report.

output:

["stable subsolid pulmonary nodule in the right upper lobe",
"stable subsolid pulmonary nodule in the right lower lobe", ...]

YYYYY-05_chest_ct
stable subsolid pulmonary nodule in the right upper lobe
stable subsolid pulmonary nodule in the right lower lobe
new 12 mm peripheral subsolid opacity in the right upper lobe anterior laterally, ...

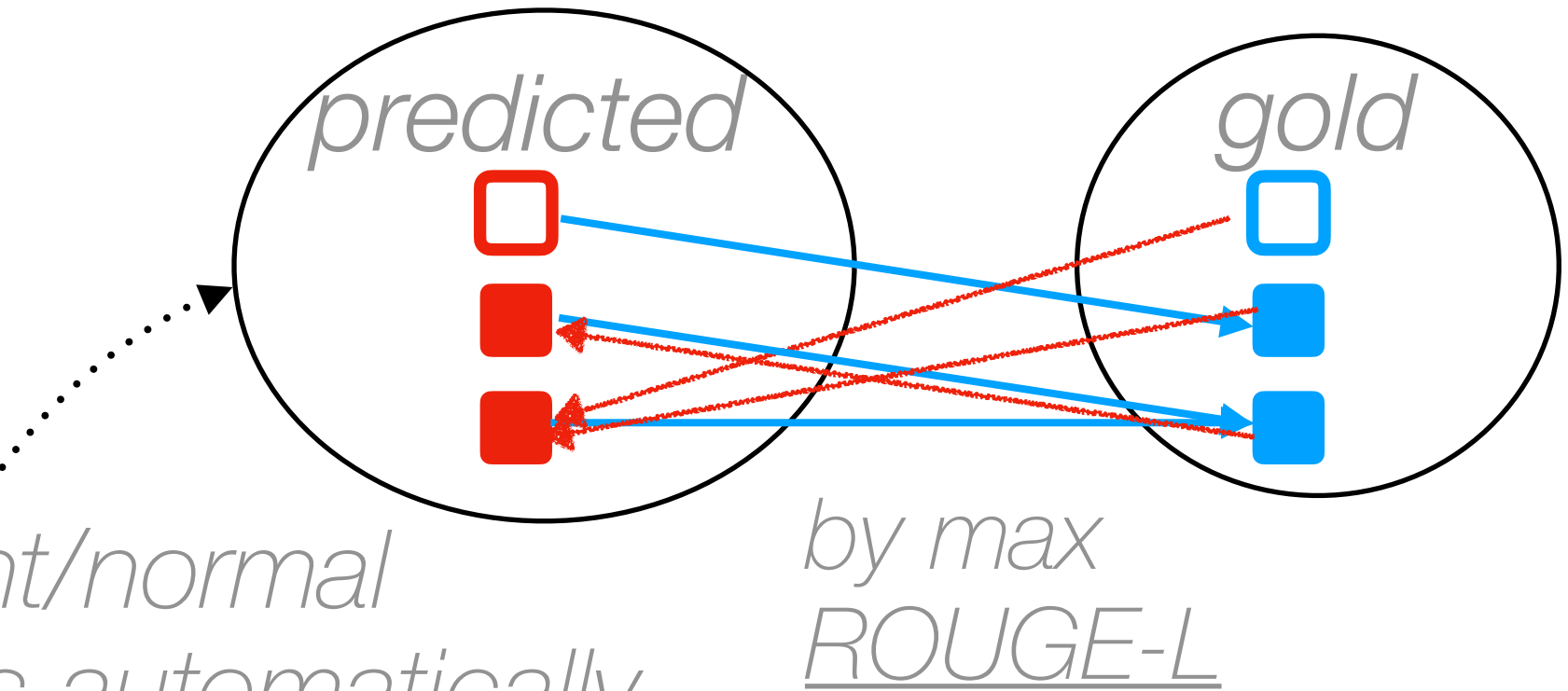
Finding extraction results

Good completeness given single-report inputs for both LLMs

High unnecessary information for Llama 3.1

includes wrong, irrelevant, redundant, unfiltered absent/normal

version	ROUGE-L ↑	% Missing ↓	% Unnecessary ↓
Llama 3.1 8B Instruct	70	3	32
GPT-4o	75	6	15



- ROUGE-L: avg. over predictions*
- % Missing: unmatched gold*
- % Unnec. : unmatched predicted*

Step 2: group name generation

2 Group Name Generation

LLM

input:  *full contexts / finding lists*

Please group the lung-related findings recorded over time and list the group names.

output:

*List of group names:
Right upper lobe ground glass nodule,
Right lower lobe ground glass opacity
with central nodular component, ...*

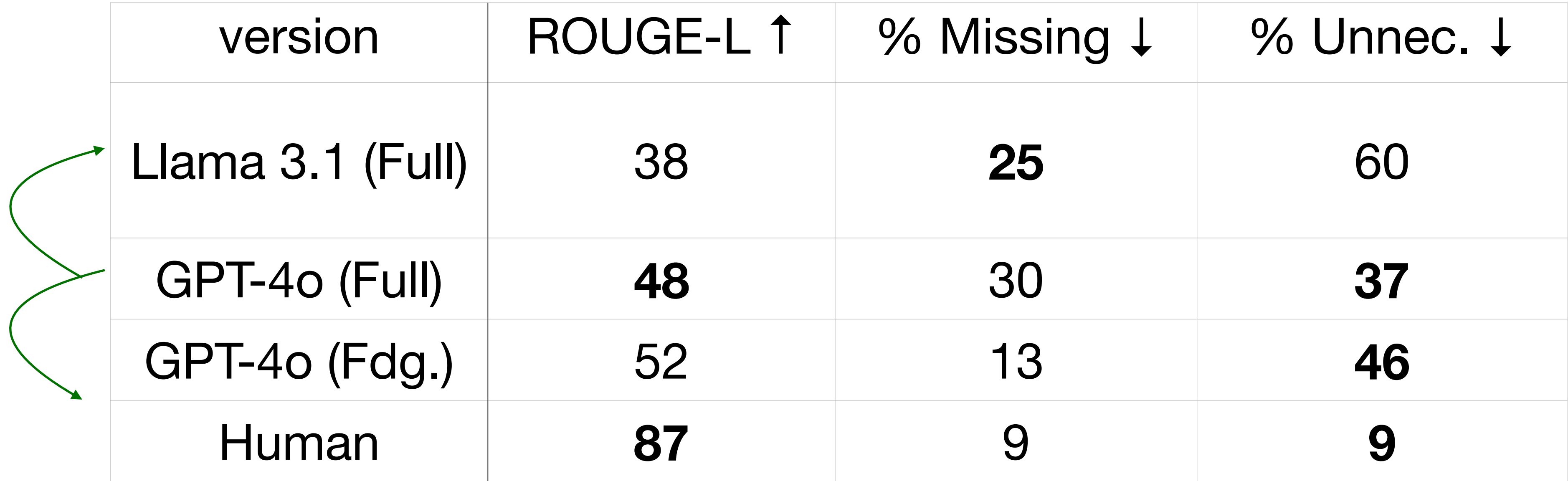
Row headers

group name
right upper lobe ground glass nodule
groundglass opacity in the posterior basal segment of the right lower lobe
peripheral subsolid nodule in right upper lobe

Group name generation results

Scoring similar to findings, but average the scores against the two groupings.

GPT-4o significantly improves over Llama 3.1 in ROUGE-L and unnecessary names, but behind human agreement



version	ROUGE-L ↑	% Missing ↓	% Unnec. ↓
Llama 3.1 (Full)	38	25	60
GPT-4o (Full)	48	30	37
GPT-4o (Fdg.)	52	13	46
Human	87	9	9

Using the full context vs. just finding list hurts name quality but reduces unnecessary names

Step 3: group assignment



LLM

input: single finding
& group names

Please find the group best describing this finding given group labels.

List of group names: Right upper lobe ground glass nodule,

output:

right upper lobe ground glass nodule

2

right upper lobe ground glass nodule

[YYYY-1]-04 _chest_ct	[YYYY-1]-08 _chest_ct	[YYYY]-05 _chest_ct
3 ...	...	...
...	...	...

group name	[YYYY-1]-04_chest_ct	[YYYY-1]-08_chest_ct	... YYYY-05_chest_ct
<i>right upper lobe ground glass nodule</i>	alveolar groundglass opacity in the posterior segment of the right upper lobe .. measuring 10.2 mm	Right upper lobe , indistinct mostly groundglass-like nodular lesion..., 15 mm overall size, increased	stable subsolid pulmonary nodule in the right upper lobe

Group assignment results

report CoNLL F1 for clustering on **gold findings**

Few shot only helps the weaker model

group names	ZeroShot (Llama 3.1)	FewShot (Llama 3.1)	ZeroShot (GPT-4o)	FewShot (GPT-4o)	Embedder
Llama-3.1	66	74	77	73	70
GPT-4o	75	79	82	80	69
Gold					83

Group name quality matters

GPT-4o is close to human (82)

With gold group names,
embedder can be good

much cheaper

Embedder: E5-Mistral 7B-instruct

Conclusion: verifiable & personalized longitudinal summarization

LLM can generate lung findings

good completeness, high irrelevant information

LLM can group gold findings

near human performance

cheaper models may be effective with better group names

Extensible framework for tracking problems

symptom trajectory, treatment trajectory

Conclusion: verifiable longitudinal summarization

LLM can generate lung findings

good completeness, concerning irrelevant information

LLM can group gold findings

*near human performance
smaller models can be improved with better
intermediate group names*

Extensible framework for tracking problems

symptom trajectory, treatment trajectory, etc

Verifiable
Longitudinal
Summarization

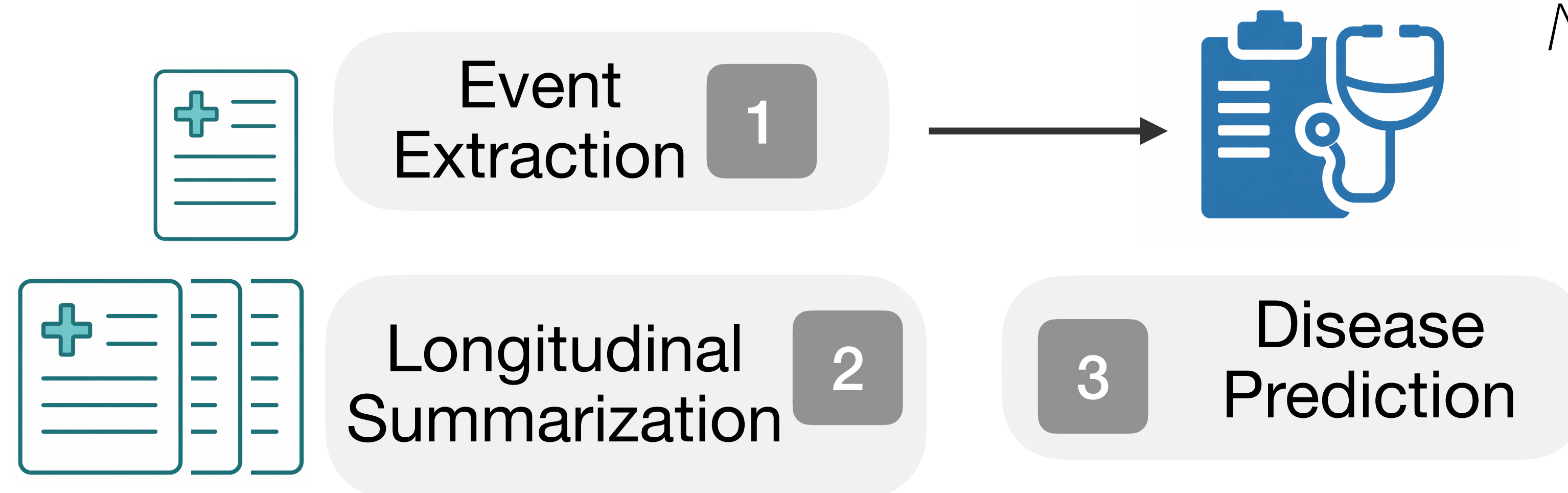
2

LLM
*Longitudinal radiological
lung findings
(LREC, 2026)*

3

Lung cancer prediction with IE

IE



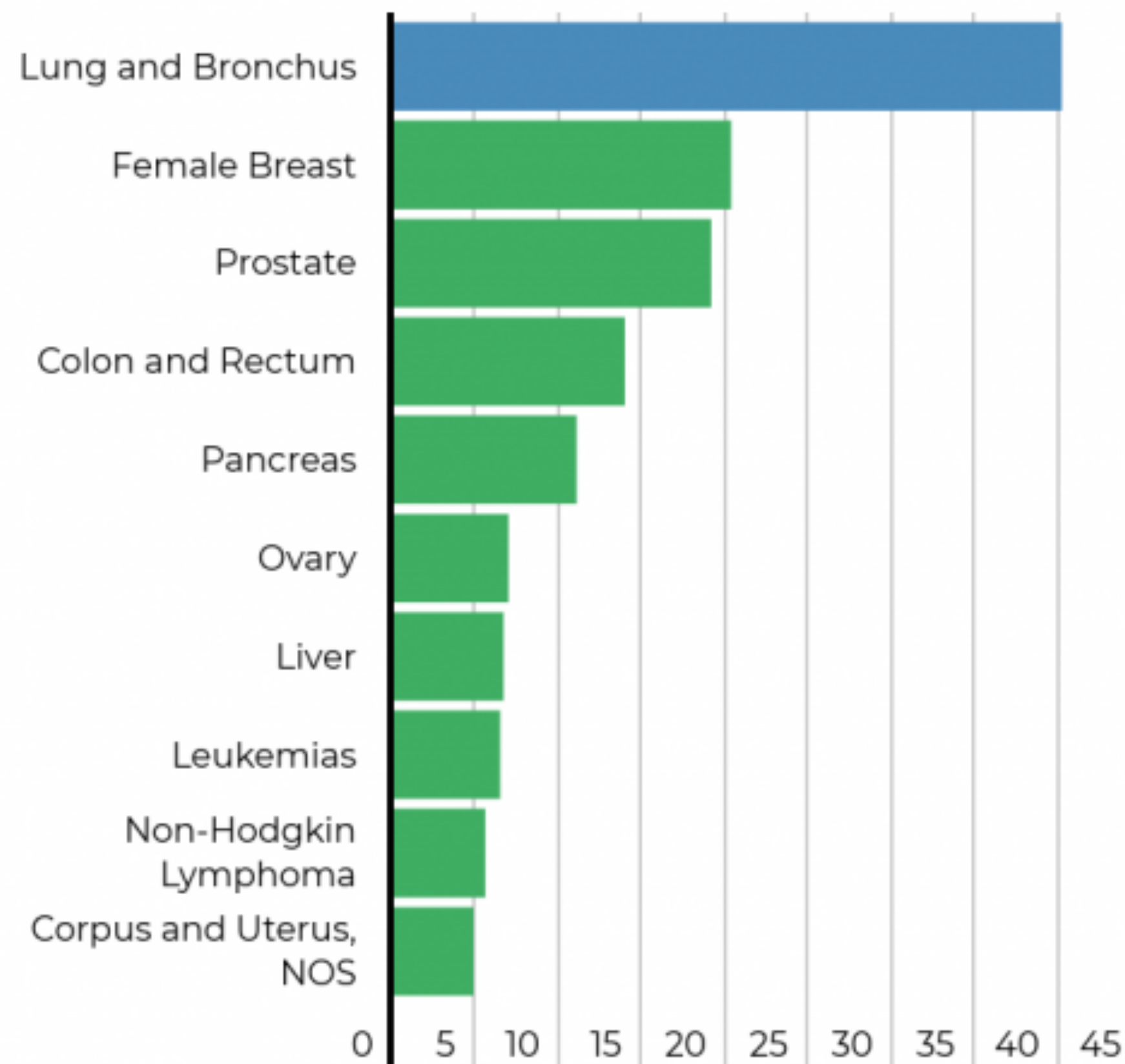
how to use IE?

NLP efforts. -> clinical impact?

Early detection of lung cancer reduces mortality

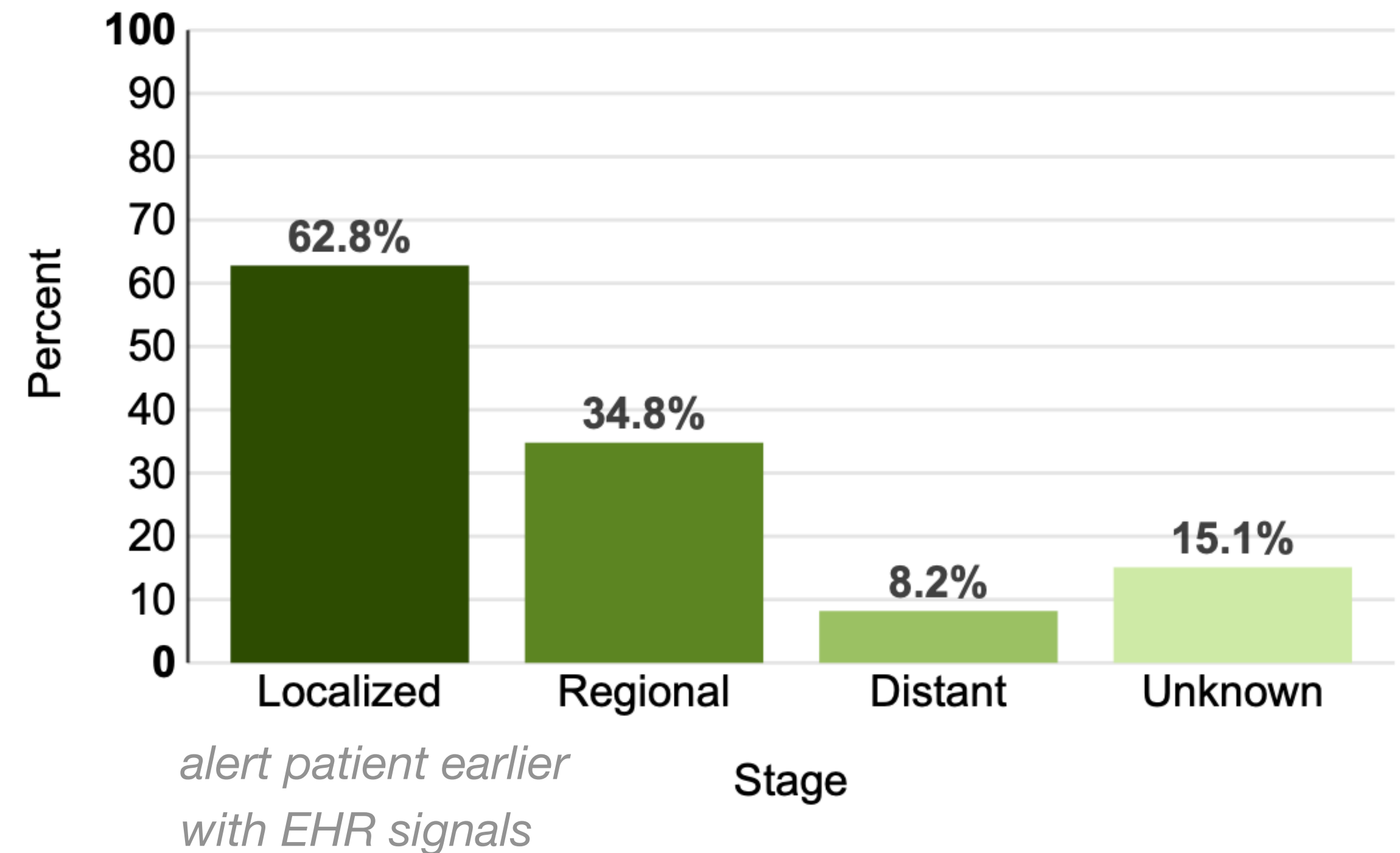
The leading cause of cancer deaths

Lung Cancer Rates in the United States (per 100K)



Early detection saves life

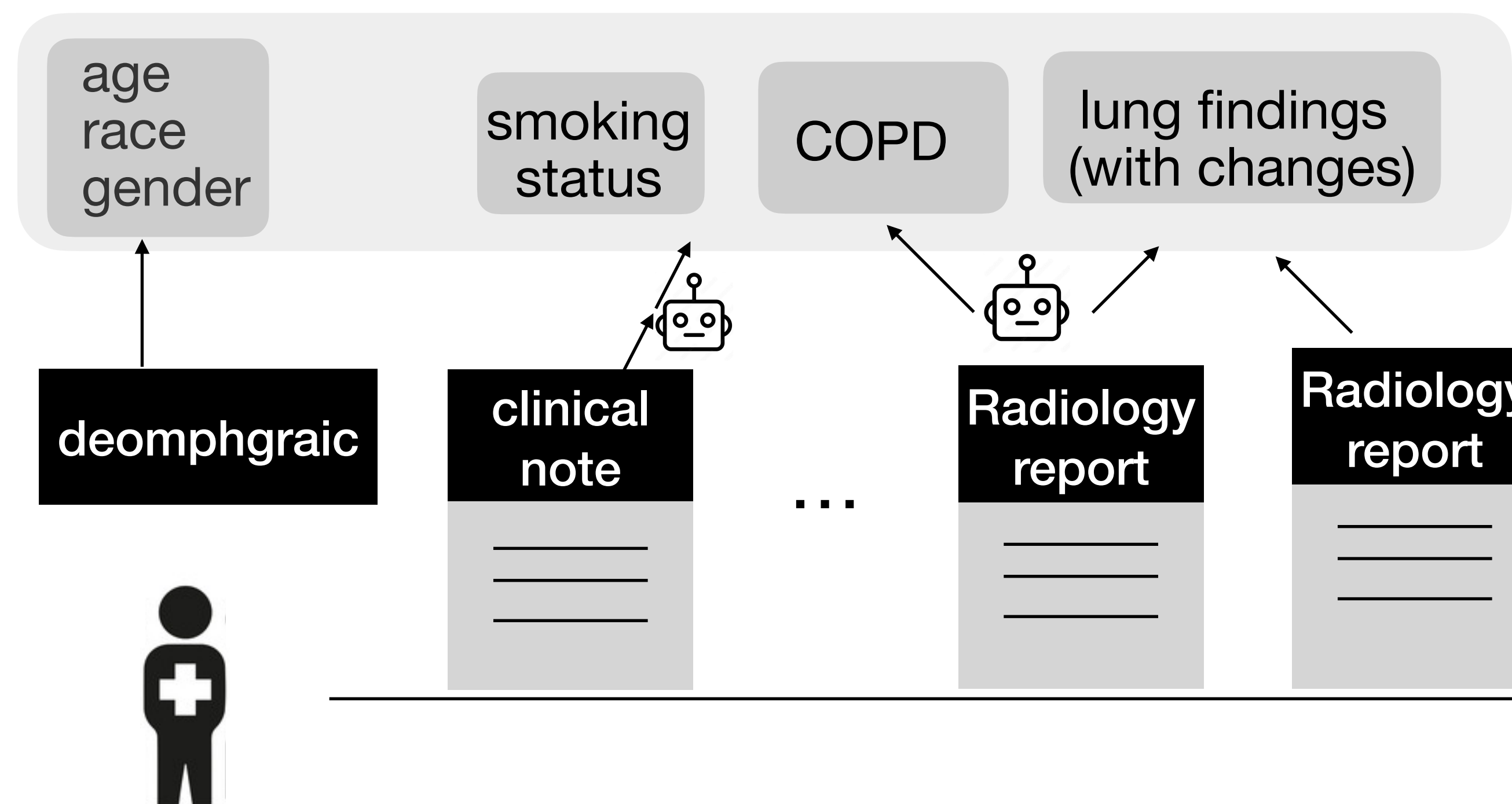
5-Year Relative Survival



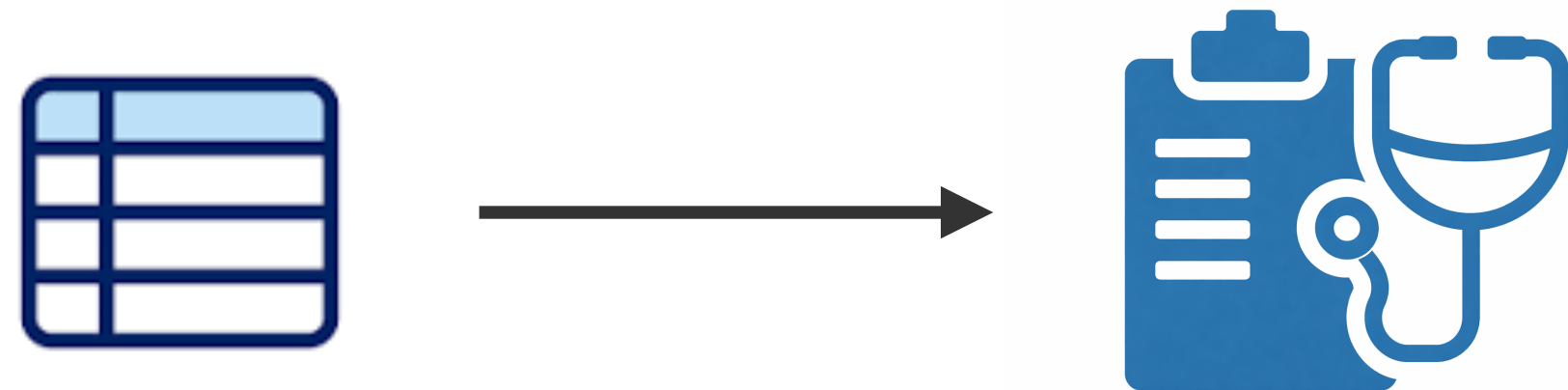
Lung cancer risk factors in unstructured EHRs

rich literature

- Age
- Smoking
- COPD *Chronic Obstructive Pulmonary Disease*
- Abnormal lung findings
 - type, size, temporal change, etc



Prior work for lung cancer prediction with EHRs



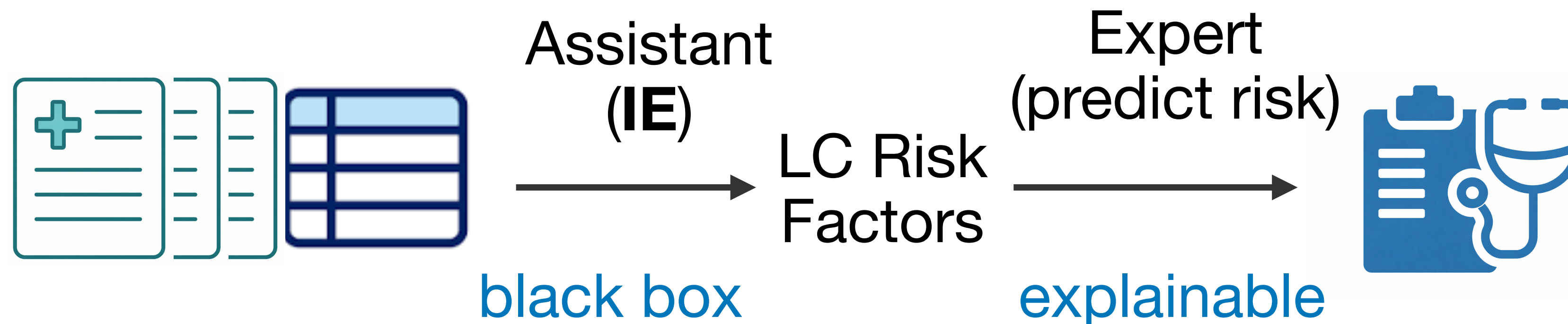
Use structured data

*miss information from
unstructured data*

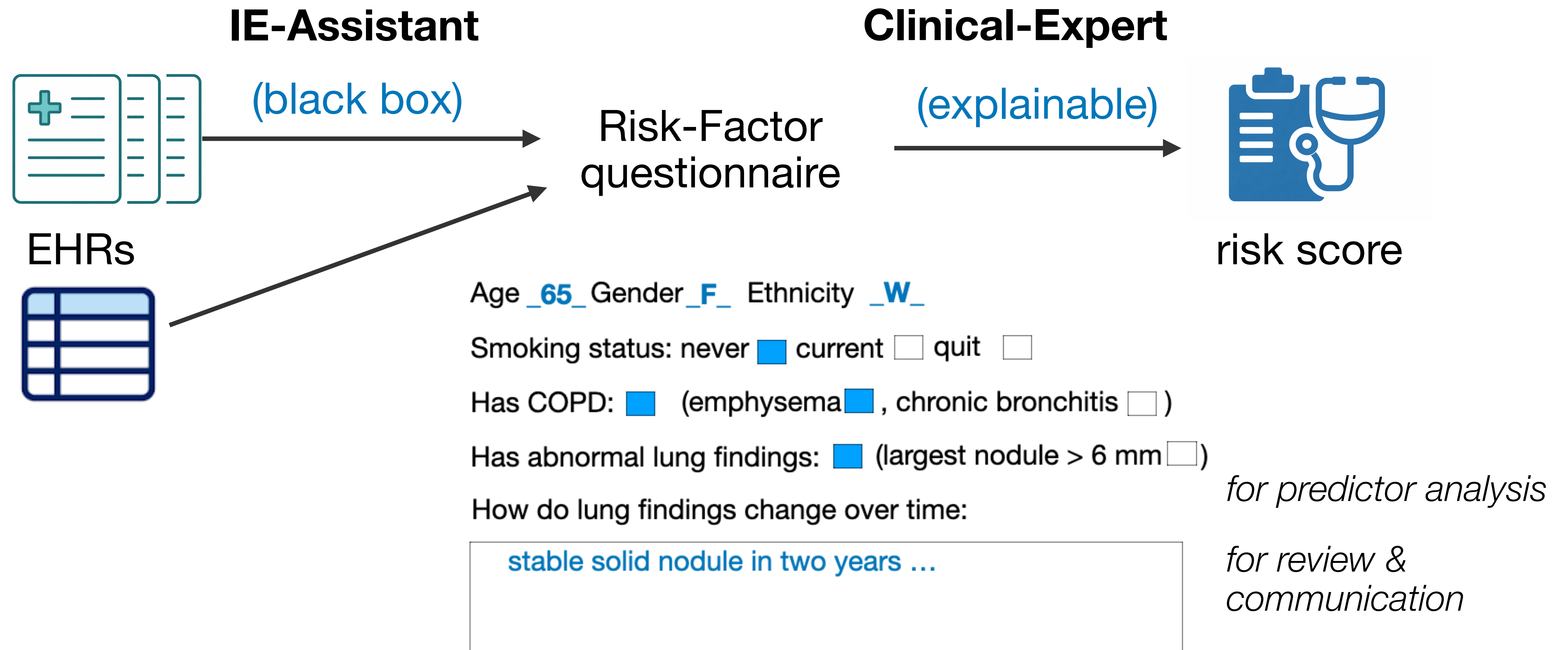


Use unstructured data

summarization, concept extraction
lack of understanding



IE-Assistant & Clinical-Expert Risk Prediction

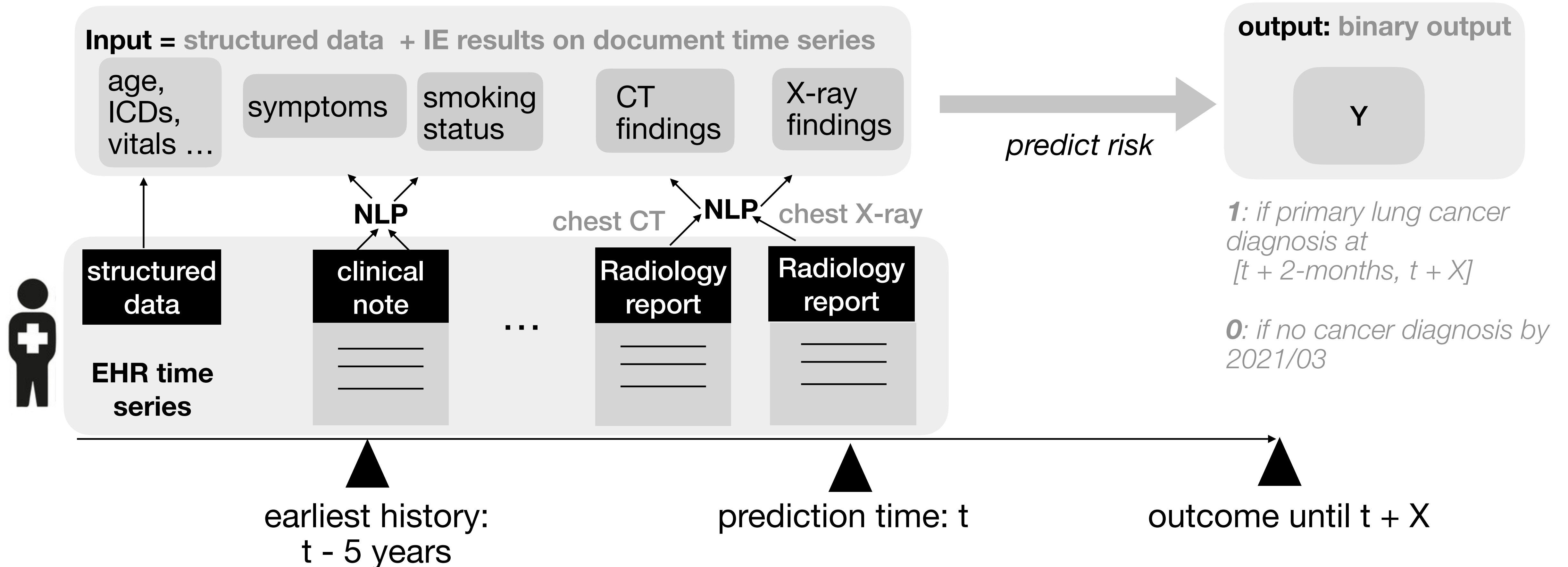


Assistant - Expert Lung Cancer Prediction (ours)

- Task design
- Data: a case-control cohort
- IE approach (Assistant)
- Disease prediction approach (Expert)

Task: Predict lung cancer risk

At time (t) of **chest radiology exam** (*CT or X-ray*),
predict primary lung cancer diagnosis outcome within risk period **X** (*3 years*).



Data: A case-control cohort

1:10 case-control cohort. (3964 cases, 39640 controls) *scale up IE*

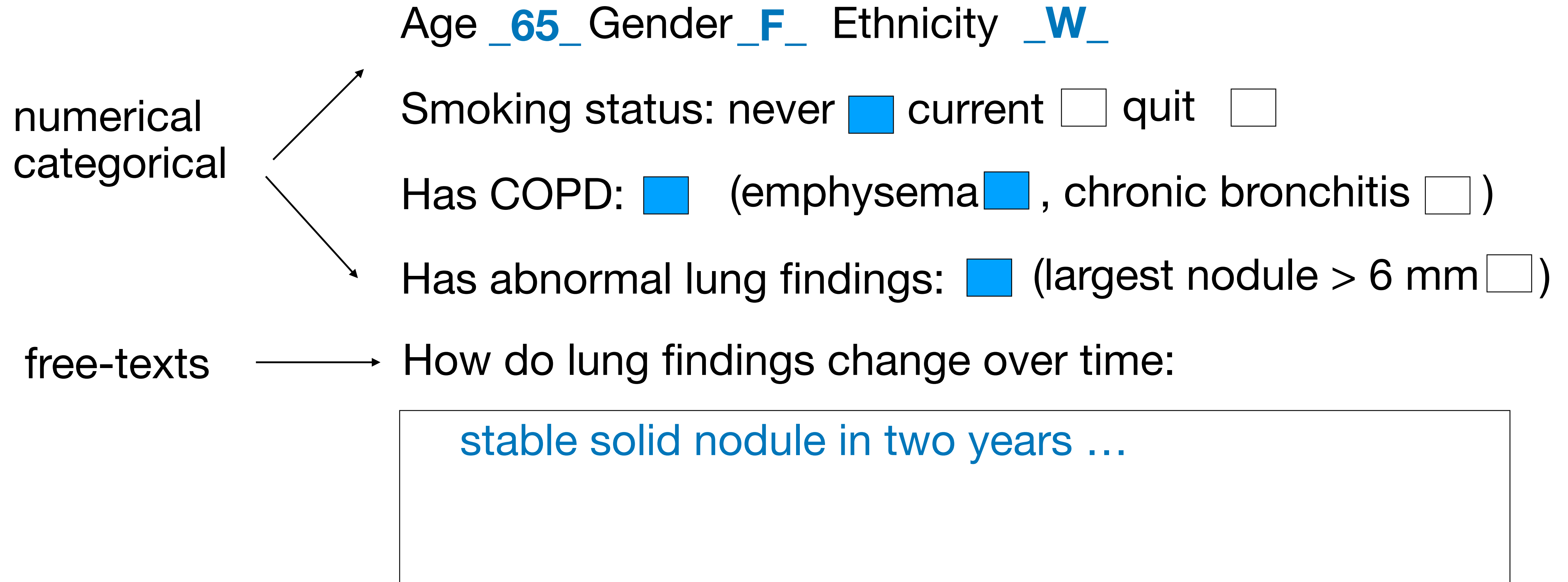
case: have a valid lung cancer diagnosis in the cancer registry

control: no records of any cancer in the cancer registry

each case / control has a prediction time radiology note prior to diagnosis related to chest (Chest CT, Chest XRay, Abdomen CT)

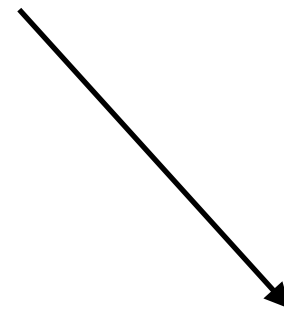
patient's age is above 40 at the prediction time.

IE-Assistant: fill a risk-factor questionnaire



IE-Assistant: fill a risk-factor questionnaire

numerical
categorical



Smoking status: never ☐ current ☐ quit ☐

Has COPD: ☐ (emphysema ☐, chronic bronchitis ☐)

Has abnormal lung findings: ☐ (largest nodule > 6 mm ☐)

Merge structured data with LLM QA results *on longitudinal inputs*

*for higher data
completeness*

What is the smoking status of the patient?

Does this patient has COPD, emphysema, and chronic bronchitis?

What is the largest lung nodule size in mm?

IE-Assistant: fill a risk-factor questionnaire

free-texts



How do lung findings change over time:

stable solid nodule in two years ...

- **Event Extraction** finding list (in time order) *from recent to old*
filter for present & lung findings
1B *Event Extraction on Radiological findings Park et al, 2024*
- **LLM direct summarization**
- **LLM findings (RadTimeline)**
(in time order) *column by column*
(in group order) *row by row*
2 *Longitudinal Summarization radiological lung findings*
contextualized on related findings

Model risk prediction - predict binary outcome

Xgboost

only accept numerical/categorical features

DistilBERT

accept all features after verbalization

***vignette** style*

*a case study style for
medical education*

This patient is a **six-five** year old **White**
Female **current** smoker, has **COPD**
emphysema, has **lung abnormality**.

Impact of individual risk factor completeness in risk prediction

XGBoost results		data completeness boost % with LLM		AUC (demographic baseline = 0.664)		AP (demographic baseline = 0.148)	
Category	Variable	<i>Case</i>	<i>Control</i>	Structured Data	Merge with LLM	Structured Data	Merge with LLM
Smoking	current	22	57	70.8	71.4	19.4	20.6
	never	13	33	72.6	74.2	19.2	20.6
	quit	26	40	66.5	66.9	15.3	15.9
COPD	copd	48	96	70.8	70.4	18.2	18.0
	emphysema	116	225	69.0	71.3	18.2	20.4
Abnormal lung finding	has-lung-abnormal	43	33	68.8	71.4	18.7	21.8

higher completeness than structured data

IE amplifies effective individual predictors with higher completeness

Longitudinal free-text features

<i>small model VS LLM</i>	free-text features with DistilBERT	AUC	AP
	Event extraction (time order)	83.7	36.5
	LLM direct summarization	81.6	35.2
	LLM RadTimeline (time order)	82.5	36.6
	LLM RadTimeline (group order)	81.6	32.5

time order VS group order

Small event extraction model is the best, followed by LLM findings in time order
Using group order hurt LLM finding features

LLM: Llama 3.1-8b-instruct

Longitudinal free-text features - event extraction

DistilBERT	5-year history (default)		1-month history	
	AUC	AP	AUC	AP
findings (only lung)	83.7	36.5	83.3	37.2
findings (all body parts)	82.0	35.9	81.9	35.7

Irrelevant information hurts (information not related to lung) *even with a large cohort*

Classifying anatomy types in event extraction (small LMs) can filter irrelevant information and helps disease prediction

Conclusion: lung cancer risk prediction

IE helps lung cancer prediction

boost data completeness

improve the predictive power of individual risk factors

Irrelevant information hurts

similar to domain generalization experiments

LM behaves different when the input sequence is reordered

Potential reason: positional bias

Verifiable
Longitudinal
Summarization

2

LLM

*Longitudinal radiological
lung findings
(under review, 2025)*

Conclusion

IE helps disease prediction

*so many more risk factors to extract
to help so many more diseases*

Real Data

For domain generalization

For long context / longitudinal understanding

Domain generalization lessons

Reduce unnecessary inputs (include shortening)

shorter data helps pretraining long context LLM

we still do supervised learning for clinical models

prompt compression?

Sequence order matters

in extracting longer sequence

in understanding longitudinal inputs

LM positional bias?